



TP ICAP appoints Amir Zaidi as Global Head of Compliance

September 3 2019

TP ICAP, the world's largest interdealer broker, announces that it has appointed Amir Zaidi as Global Head of Compliance.

Prior to TP ICAP, Amir spent 9 years at the US Commodity Futures Trading Commission ("CFTC") in a variety of roles, most recently, Director, Division of Market Oversight. In that role, Amir led a team of 90 staff across four offices to oversee derivatives platforms and swap data repositories and the CFTC's market intelligence initiatives. He also performed the role of Legal Counsel & Policy Advisor for two Commissioners and Special Counsel, Division of Market Oversight. Prior to his career at the CFTC, Amir worked at the Financial Industry Regulatory Authority and at Arnold & Porter LLP. Amir will be based in TP ICAP's New York office with effect from September 2019.

Nicolas Breteau, Chief Executive of TP ICAP, said: "I am very pleased that Amir will be joining TP ICAP. Amir brings a vast amount of regulatory experience in our sector which will be critical for TP ICAP as we continue to develop our world class compliance function in support of our market leading and diverse range of businesses across wholesale financial markets and the growing data and analytics sector."

Amir Zaidi said: "I am delighted to be joining TP ICAP. It is clear that regulators are very focused on the role of interdealer brokers in financial markets and their operation of trading venues in support of a wide range of clients. I hope to leverage my experience for TP ICAP and its clients and assist in the evolution of the firm's compliance function."

TP ICAP's Group Head of Compliance will report to Philip Price, Executive Director and Group General Counsel. 73 compliance professionals across 14 offices will report to the Global Head of Compliance.

- ENDS -

TP ICAP plc

Level 2

155 Bishopsgate
London EC2M 3TQ
United Kingdom

T +44 20 7200 7000
F +44 20 7200 7678
tpicap.com

Contacts:

William Baldwin-Charles, Group Media Relations Director
+44 20 7200 7524
William.Baldwin-Charles@tpicap.com

Harriet Austerberry, Communications Manager, TP ICAP
+ 44 20 3933 3012
Harriet.Austerberry@tpicap.com

About TP ICAP

TP ICAP is a global firm of professional intermediaries that plays a pivotal role in the world's financial, energy and commodities markets.

Operating through our core businesses, Tullett Prebon, ICAP, PVM, Coex Partners, Tullett Prebon Information, ICAP Information Services and PVM Data Services, we create strong networks in person and through technology. We provide comprehensive analysis and insight into market conditions and long-term trends. We combine data, knowledge and intelligence into contextual insight and commercial guidance. By engaging with our clients, and providing innovative products and services, we enable our clients to transact with confidence, facilitating the flow of capital and commodities around the world, enhancing investment and contributing to economic growth.

Our values of honesty, integrity, respect and excellence underpin everything we do.

TP ICAP plc

Level 2
155 Bishopsgate
London EC2M 3TQ
United Kingdom

T +44 20 7200 7000
F +44 20 7200 7678
tpicap.com