

Notice to Canadian Clients – International Dealer Exemption

This notice is provided to the clients of TP ICAP (Europe) S.A. located in Canada, pursuant to section 3 of Ontario Securities Commission Rule 32-506 (Under the Commodity Futures Act) *Exemptions for International Dealers, Advisers and Sub-Advisers* (OSC Rule 32-506).

TP ICAP (Europe) S.A. is not domiciled in Canada. Its head office and principal place of business is Paris, France.

TP ICAP (Europe) S.A. is not registered in Ontario to trade in contracts as principal or agent. Services provided to you by TP ICAP (Europe) S.A. which amount to arranging or dealing activity under the provincial commodity futures law are on the basis of the “CFA international dealer exemption” in OSC Rule 32-506 and subject to its limitations.

Pursuant to OSC Rule 32-506, you are hereby informed that all or substantially all of the assets of TP ICAP (Europe) S.A. may be situated outside of Canada; and, accordingly, there may be more difficulty enforcing legal rights against the firm in the event of any claim against them, as compared to firms which are situated inside Canada.

TP ICAP (Europe) S.A. has appointed an agent for service of process as follows:

Ontario

Osler, Hoskin &Harcourt LLP

100 King Street West, 1 First Canadian Place, Suite 6200, P.O. Box 50, Toronto, ON M5X 1B8

The current version of this notice is maintained on the web site at

<https://tpicap.com/tpicap/home/legal-and-regulatory>.

This notice does not relate to Tullett Prebon (Canada) Limited, which is located in Toronto and registered with the Ontario Securities Commission.

Questions about this notice may be directed to: Head of Compliance, EMEA, TP ICAP, 135 Bishopsgate, London EC2M 3TP, United Kingdom.